More podiatrists Choose PlCA than any other malpractice provider.



Here's why:

- Administrative Defense Coverage (ADC) included in the policy
- Cyber liability coverage included in the policy^{*}
- Podiatry-specific risk management
- Podiatry-specific claims defense
- Consent to settle with no hammer clause^{**}
- Responsibly priced
- Exceptional customer service
- Rated A+ (Superior) by A.M. Best

Contact us to learn more and to get a quote!

www.**picagroup**.com (800) 251-5727



Underwritten by a ProAssurance Company

*Not currently available in all states. **Per policy terms.

Complications of Orthopedic Implants & Hardware

John V. Vanore Steven R. Carter

2018 Risk Management Lecture	
	of Orthopedic Hardware
<u>-</u> [-	John V. Vanore, D.P.M. Gadsden, AL
CODIATRY INSTITUTE	Steven R. Carter, D.P.M. Covington, GA

Figure 1.



Figure 3.

Risk Considerations of Surgical Implants Learning Objectives

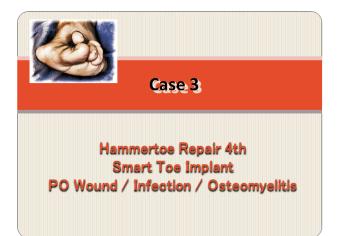
- Be able to identify and distinguish complications of orthopedic implants
- Identify common modes of failure of orthopedic joint implants and orthopedic hardware
- Be able to differentiate mode of failure and plan a course of treatment
- Become familiar with orthopedic metals and potential allergy
- Be able to identify and assess infectious complications including periprosthetic infection
- Help participant avoid orthopedic implant / equipment complications



Figure 2.



Figure 4.



Case 3 Presenting Complaints

- 25 yo female, non-smoker
- Unremarkable medical history
- Allergies: NKDA
- Complaint Painful wart & "hammertoe" soft corn – lateral PIPJ 4th, medial DIPJ 5th right foot

Figure 7.

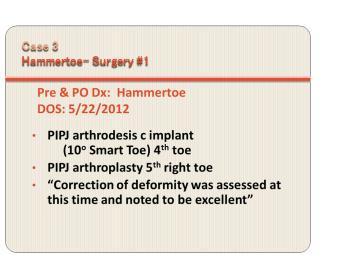
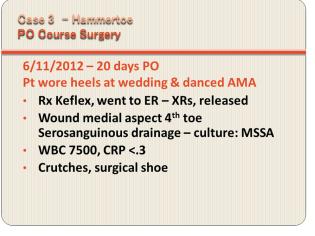
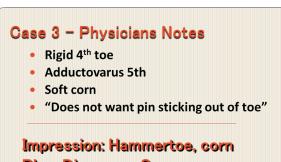


Figure 9.





Plan: Discusses Surgery HT c K-wire vs Smart Toe

(Smart Toe will add 2-4 wks of off-loading)

Figure 8.

Case 3 – Hammertoe PO Course Surgery

5/24/2012 – 2 days PO

- Dressing change unremarkable
- Cast dry & intact
- Continue with Surgical shoe & crutches

5/31/2012 – 9 days PO 6/7/2012 – 16 days PO

- Dressing change unremarkable
- Continue NWB with Sx shoe & crutches
- Pt needs to wear heels for wedding pics AMA

Figure 10.

Case 3 - Hammertoe Implant Complication PO Course - DOS 5/22/2012

6/14/2012 - 23 days PO

- MSSA, WBC 5.8, afebrile
- Necrotic wound Rx Santyl
- Continue with Sx shoe & crutches

6/18, 6/22/2012

6/26/2012 - OP Sx - Wound debridement

- DX ?: Gangrene
- Culture: MSSA, Staph coagulase negative

Figure 12.

Figure 11.

Case 3 - Hammertoe Implant Complication PO Ceurse - DOS 5/22/2012; Debridement 6/26/2012

6/29/2012 – OV

- Looking good but Pt going on vacation
- "seems the skin is trying to recover from vascular insult of Staph infection"
- Pt on Bactrim x 6 weeks

7/6/2012- OV - back from vacation

- Rx Santyl dressings
- "stimulate granulation tissue & graft"
- Another wedding tomorrow

Figure 13.

Case 3 - Hammertoe Implant Complication DOS 5/22/2012; Debridement 6/26/2012, 7/11/2012

7/18/2012 – 6 days PO 3rd surgery

- Wound clean & granular
- No pain, no edema
- IV antibiotics (ID ?)

7/23/2012 – IV ABX switched to daptomycin 8/3/2012, 8/9/2012 – healing but floppy toe MRI ordered – negative Followed Pt several more months

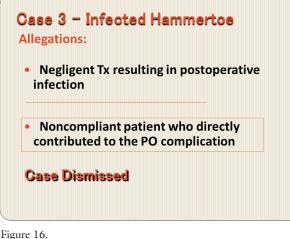
Figure 15.

Case 3 - Osteomyelitis Infected Hammertoe Assessment & Questions Was treatment appropriate ? ... was hammertoe repair necessary? Appropriateness of hammertoe implants ? Doc did good job documenting patient's noncompliance Vascular embarrassment > digital procedure in young healthy patient?

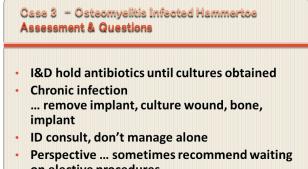
Figure 17.



Figure 14.







on elective procedures Informed Consent: ... disability considerations

Figure 18.



Figure 19.

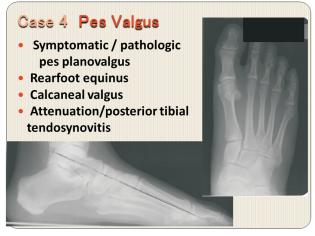


Figure 21.



Figure 23.



Figure 20.

Case 4 Surgery RIGHT Foot 12/20/2010

(1) Gastrocnemius recession

(2) retrocalcaneal displacement osteotomy

(3) Kidner procedure / posterior tibial tendon advancements with tenodesis screw

(4) Cotton procedure, i.e., opening wedge osteotomy with plate fixation dorsally of medial cuneiform, right foot;

(5) dorsal talonavicular exostectomy

(6) plantar medial hallux IPJ exostectomy

Figure 22.



- In pain and distress /using crutches
- Relates falling earlier in the day "afraid she may have broken something"
- OV / X-ray note: osteotomy had moved approximately 8-10 mm.
- Plan: To OR for ORIF with 6.5 mm screw

Figure 24.



Figure 25.



- Rearfoot pain
- Dorsal navicular spur
- Pain over the "midtarsus fixation plate"

Plan:

- Apply supportive strapping
- May consider surgery (removal of all hardware and excision of the navicular spur)

Figure 27.

Case 4 Recommendations - 5/7/2013

- Decision to remove all hardware and dorsal TN spur
- "would need special equipment consisting of screwdrivers and he would need to look at the old operative report identifying the screw type used in the prior surgery"

Figure 29.

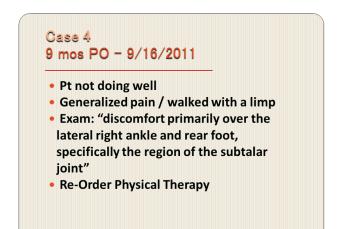


Figure 26.

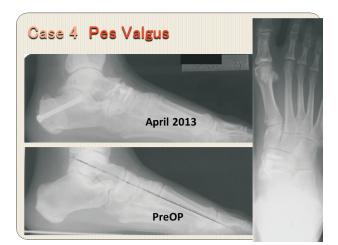


Figure 28.

Case 4 Surgery- 6/7/2013

- Removal of calcaneal screw
- "Attempted" removal of dorsal plate and screws

"an attempt was made to remove the dorsal plate over the dorsum of the right midfoot, deep dissection exposed to the plate, but Dr. did not have the appropriate tools to back out the fixation screws, so then the decision was made to track down the screw and close the wound, and then bring the patient back to the operating room at a later time"

Figure 30.

Case 4 JUN 2013 - PO Removal Calcaneal Screw

The doctor discussed with the patient the fact that unfortunately he did not have the right equipment at the time of surgery to remove the titanium plate. She would discuss it with her neurologist. If necessary, she would return to surgery. It was noted that she was understanding.

Figure 31.

Case 4 Surgery- 7/1/2013

- Removal of TN hardware
- (no op report)

8/21/2013

- Last visit with pod
- Overall doing fine
- Ready to RTW
- Patient discharged

Figure 33.

Case 4 Allegations

- Multiple allegations regard prior surgeries: long and complicated course, including an infection and movement of the osteotomy
- Need for additional surgery as a result of not having the requisite surgical tools available for removal
- Negligence in failure to ensure that the appropriate instruments were available at the time of surgery
- Notably, there is no allegation by plaintiff that her injuries include a wrong site surgery
- No allegation of failure to disclose complication

Figure 35.



Figure 32.

Case 4 - Summary Case History - Right Foot

- DEC 2010 Displacement calcaneal osteotomy / Kidner / Cotton
- MAR 2011 Pt fell → dislodged calcaneal osteotomy
- APR 2011 ORIF prior calcaneal osteotomy
- MAY 2013 Pt wanted hardware removed
- JUN 2013 Hardware removed from heel; did not have instrumentation to remove midfoot hardware
- JUL 2013 Removal of remaining hardware

Figure 34.

Orthopedic Equipment Failure

- Surgery delayed due to missing equipment
- Lack of sterility of equipment
- Equipment not available
- Equipment malfunction
- Equipment sets incomplete
- Additional equipment necessary for completion of case
- Surgeon responsibility for availability of equipment / implants on hand (Hospital vs Surgical Center)

Efficacy of surgical safety checklist: Assessing orthopaedic surgical implant readiness. Thomasson et al: Healthcare (2016) 4:307

Figure 36.

Surgical Safety Checklist

- Foot/Ankle surgery is a surgical specialty with technically complex instrumentation requirements for the implantation of screws, plates and pins to fix bones.
- Joint replacement involves multi-material implant systems each with multiple procedure specific instrumentation and procedural requirements

Assessing orthopedic equipment readiness preoperatively and its ability to prevent orthopedic equipment failures

Efficacy of surgical safety checklist: Assessing orthopaedic surgical implant readiness Thomasson et al: Healthcare (2016) 4:307

Figure 37.



Figure 39.

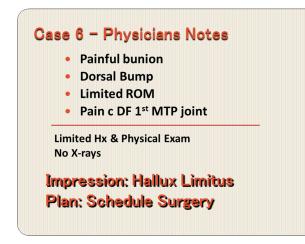


Figure 41.

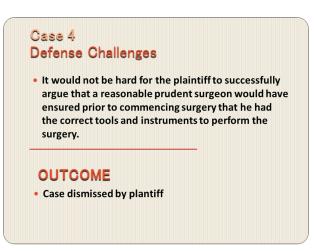


Figure 38.

Case 6 Presenting Complaints

- 42 yo white female
- Relatively unremarkable medical history
- Allergies: PCN, sulfa, latex
- Complaint Painful left foot bunion

Figure 40.



Figure 42.

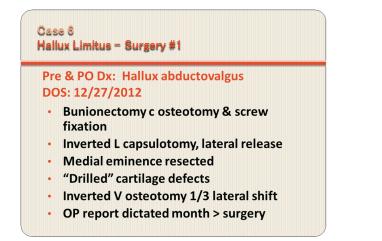


Figure 43.

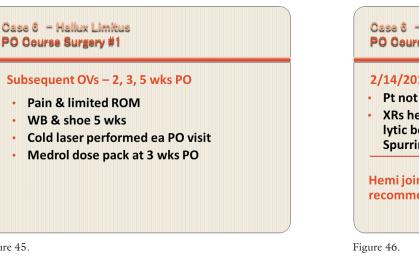
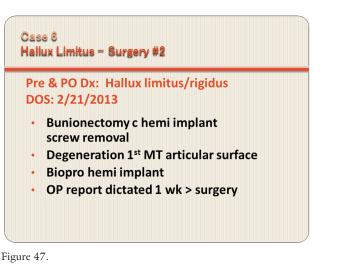


Figure 45.



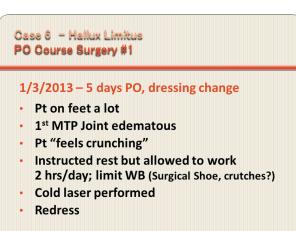


Figure 44.

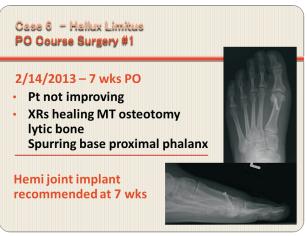




Figure 48.

Case 6 - Hallux Limitus PO Course Surgery #2

3/26/2013 - 1 month PO

- Continued pain & swelling
- Discuss possible damage 1st MT head
- Discussed 3rd surgery "to correct damage to 1st MT head"

Figure 49.



Figure 51.

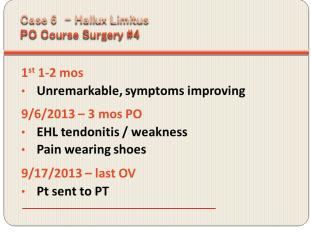


Figure 53.

Case 6 Hallux Limitus = Surgery #3 **Pre & PO Dx: Fracture 1st metatarsal** DOS: 4/5/2013 Indications: chronic pain, failed conservative Tx, patient requests surgery

- Findings: Fracturing of surface cartilage with bone degeneration throughout 1st MT head
- Debridement / remodeling 1st MT head
- OP report dictated 10 days > surgery

Figure 50.



Figure 52.

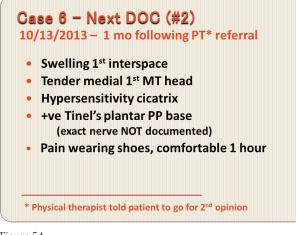


Figure 54.

Case 6 - Hallux Limitus Case 6 - Next DOC #3 PO Course = 2nd Doc 12/2/2014 - Continued complaints 9 OV over next year pain & swelling 1st MTP joint Dx Ultrasound Difficult WB & wearing closed shoes Periarticular injection Padding & strapping X-rays • Hemi Implant CBC diff: WBC 8.4, ESR 8 "changes" at 1st MTP Joint PT – 20+ sessions MRI – soft tissue swelling, EHL entrapment, **Proposed surgery:** ferromagnetic artifact **Revisionary arthroplasty** Medrol dose pak Figure 55. Figure 56.



 Followed for 1 year PO with reasonable resolution of symptoms

Figure 57.

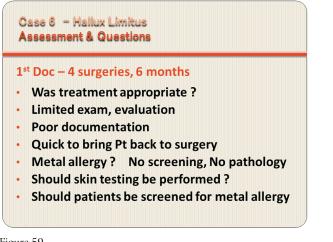


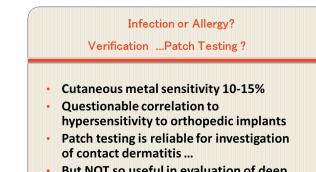
Figure 59.

Case 6 - Hallux Limitus **Allegations:**

- Use of an oversized implant
- Failure to inquire about metal allergies
- Improperly removed the metallic implant without verifying the allergy
- Improperly positioned the replacement implant

Case resolved prior to trial

Figure 58.



 But NOT so useful in evaluation of deep tissue metal allergy

Biant et al: J Arthroplasty 2010

Figure 60.



Figure 61.

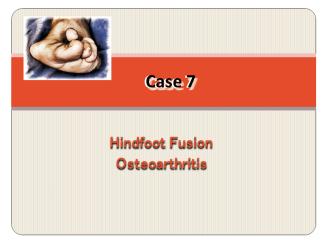


Figure 63.

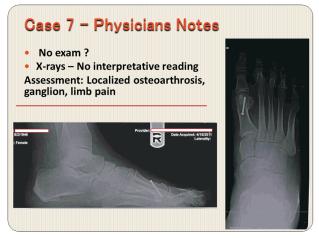


Figure 65.



Figure 62.

Case 7 Presenting Complaints • 64 yo obese female (5'4" – 200lb) • PMH: hypothyroid; prior bunion surgery • NKDA • Complaint – foot pain, right > left; swelling right ankle EOD Seen other DPMs, told "flat-footed",

prescribed inserts that give no relief

Figure 64.

Case 7 - IOV Physician Notes

Procedure Codes:

- 76942 Ultrasound guided injection
- 20600 Arthrocentesis/injection small joint, RT
- 76881 Ultrasound extremity nonvascular

6/2/2011 - Follow-up

- Had injection sinus tarsi 100% pain relief
- Exam unremarkable (limited!)

Poor Documentation No XR or ultrasound interpretative reports

Figure 66.

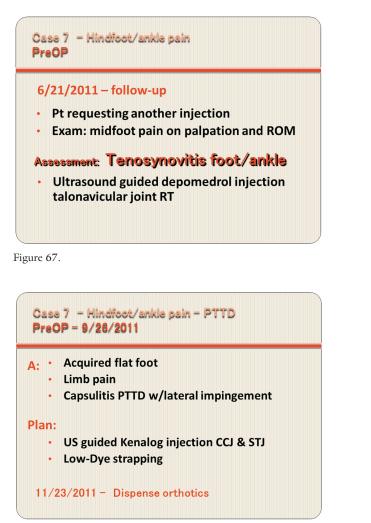


Figure 69.



Figure 71.

Case 7 - Hindfoot/ankle pain PreOP = 9/26/2011

- HPI: Pt has had pain at the lateral ankle/foot now for some time. She has pain 2/2 PTTD w lateral impingement.
 - There are some sign of arthritis at CC and 4th, 5th Metatarsal-cuneiform Joints and the midfoot medially on XR.
 - She has received steroid injections into STJ in the past which have helped. She would like to discuss her options today.

Figure 68.

Case 7 - PTTD / OA 11/23/2011, 12/12/2011, 2/27/2012

- Difficulty with orthotics
- Later bracing

CT - 3/7/2012:

• There is severe navicular cuneiform osteoarthritis most pronounced between the navicular and the medial and lateral cuneiforms. There is complete loss of joint space, small marginal osteophytes and numerous tiny subchondral cysts. There is less pronounced talonavicular osteoarthritis. Tibiotalar and subtalar joints are preserved

Figure 70.

Case 7 - Hindfoot Fusion JUN - SEP 2012 • NWB - gradual progression WB c CAM walker • ... Richie Brace 11/7/2012: 6 mos PO • Pt walking on outside border of foot & unable to straighten out foot

- WB in Richie Brace
- XR: one of the screws may be bending; there is not complete radiographic healing
- RTC 3 mos

Figure 72.

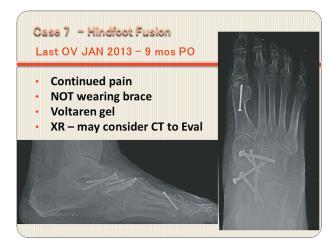


Figure 73.

Case 7 - Hindfoot Fusion

Sees 3rd DOC - Subsequent Surgery

- Removed all hardware
- Re-fusion TNJ and Navicular-Cuneiform Jt.

3rd DOC - 3rd Surgery

 7 months later, the 3rd DPM performs subtalar joint fusion and extensor tendon lengthening great toe, right foot.

Figure 75.

Case 7 - Complications of Hindfoot Fusion

Allegations

- The screws inserted during the procedure were randomly and erroneously placed
 - Failure to verify screw positions with C-Arm
 - Unnecessary screws were used in the procedure
- Failure to properly remove cartilage and properly prepare fusion sites
- Refusal to release complete chart
- Negligent retention and/or destruction of portions of plaintiff's medical records
- *no plaintiff expert identified

Figure 77.

Case 7 - Complications of Hindfoot Fusion

Sees 2nd DOC - DPM

"the screws are in such a random placement, it is difficult to really comprehend what the goal of the surgeon was."

Sees 3rd DOC - DPM

 Fusion had failed at both joints, some of the screws had fractures, and an unnecessary screw was placed between navicular and calcaneus

Figure 74.

Case 7 - Complications of Hindfoot Fusion

Complications / Injuries

- Failed fusion at TNJ and NCJ
 - Required removal of hardware
 - Re-fusion of failed arthrodesis sites
- Continued pain in foot
- Development of back /knee pain
- Multiple falls since surgery
- Development of cold sensitivity in foot

Figure 76.

Case 7 - Complications of Hindfoot Fusion Defense Challenges

- The surgical technique was not great...the screws were not well placed to get fixation and compression at the joints, contributing to a nonunion
- The operative report is non-descriptive
- Films did not show arthritis at the talonavicular joint. No justification for a fusion at that joint.
- Subsequent treating podiatrists were not supportive of the insured's surgery.

Figure 78.

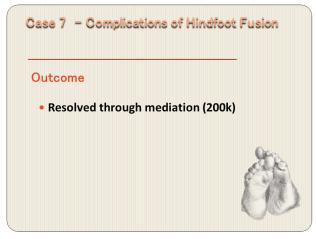
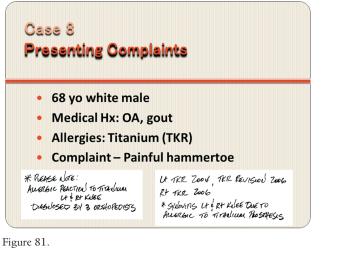


Figure 79.



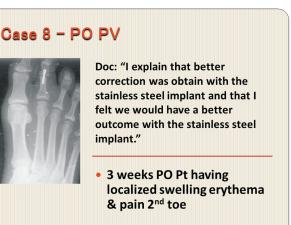


Figure 83.



Figure 80.

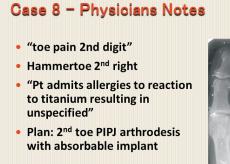




Figure 82.



Figure 84.

Case 8 - Hammertoe Implant Allegations: • Knowingly exposed the patient to a hazard or potential hazard likely to cause injury. • Failure to obtain informed written consent for

- placement of metal implant during surgical procedure
- Implant of metal without the approval or knowledge of plaintiff.
- Battery against patient performing unwanted surgical procedure without plaintiff's consent.

Case resolved through mediation

Figure 85.

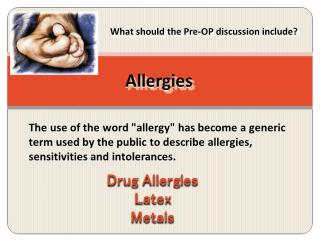


Figure 87.



Doc rationalizes use of metal implant

Figure 86.

PreOperative Screening

- Do you have any allergies? ... antibiotics, latex
- Have you ever developed a skin rash to topical antibiotics or iodine based antiseptics?
- Do you have a bleeding disorder? ... excessive bleeding to dental work? ...use blood thinners: Coumadin, warfarin, Eliquis, Plavix, Pradexa, aspirin ?
- Have you ever experienced a blood clot, DVT or pulmonary embolus?
 Do you develop a rash or itching to earrings, necklaces, watchbands or jean
- Have you had local swelling, pain, or ulcers to dental implants, braces,
- Have you had local swelling, pain, or ulcers to dental implants, braces, crowns or dentures?
- Do you have any implanted metals? .. cardiac stents, dental braces, artificial joints, bone fracture fixation implants – screw, plate, etc
- Have you ever had a heart attack, heart surgery or stroke?
- Do get nausea, vomit or sick with anesthesia or pain medication?
 Do you regularly use pain medication? Do you have any substance abuse problems, alcohol or drugs?
- Do you form thick scars or keloids in areas of cuts or surgery ?

Figure 88.